

Customized FORM PTO-1390 (REV. 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE		ATTORNEY DOCKET NO. P07472US00/WEJ	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37CFR 1.5) 10/018,401	
INTERNATIONAL APPLICATION NO. PCT/AU00/00696		INTERNATIONAL FILING DATE 06/20/2000		PRIORITY DATE CLAIMED 06/22/1999	
TITLE OF INVENTION: EPITAXIAL FILM					
APPLICANT(S) FOR DO/EO/US: TRAN et al.					
Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371. 3. This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3)) <ol style="list-style-type: none"> a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English translation of the annexes to the Int'l Pre. Exam. Report under PCT Article 36 (35 USC 371(c)(5)). <p>Items 11 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. <input checked="" type="checkbox"/> 12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A First preliminary amendment. <input checked="" type="checkbox"/> 14. A Second or Subsequent preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 & 35 USC 1.821-825. 18. A second copy of the published international application under 35 USC 154(d)(4). 19. A second copy of the English translation of the international application under 35 USC 154(d)(4). <input checked="" type="checkbox"/> 20. Other items or information: <ol style="list-style-type: none"> <input checked="" type="checkbox"/> A copy of the Notification of Missing Requirements under 35 U.S.C. 371. <p><input checked="" type="checkbox"/> In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c).</p>					
Date: 10 April 2002					

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X 21. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
Basic National Fee (37 CFR 1.492 (a) (1)-(5):					
Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO		\$1040			
Search Report has been prepared by the EPO or JPO		\$ 890			
No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO		\$ 740			
International preliminary examination fee paid to USPTPO		\$ 710			
Int'l Prelim. Ex. fee paid to USPTO & all claims satisfied PCT Art. 33(1)-(4)		\$ 100			
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$	
X Surcharge of \$130 for furnishing the oath or declaration later than from the earliest claimed priority date (37 CFR 1.492(e)).		[] 20 mos. [] 30 mos. +		\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	17 - 20 =		X \$18 =	\$	
Independent Claims	4 - 03 =	1	X \$84 =	\$ 84.00	
Multiple Dependent Claim(s) (if applicable)			+ \$280 =	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 214.00	
X Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.			-	\$ 107.00	
SUBTOTAL =				\$ 107.00	
Processing fee of \$130 for furnishing the English translation later than from the earliest claimed priority date (37 CFR 1.492(f)).		[] 20 mos. [] 30 mos. +		\$	
TOTAL NATIONAL FEE =				\$ 107.00	
X Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property			+	\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 147.00	
04/15/2002 NGUYEN 00000103 10018401					
01 FC:254		65.00 OP		Amount to be	
02 FC:965		42.00 OP		Refunded \$	
				Charged \$	
X a. A check in the amount of \$147.00 to cover the above fees is enclosed.					
b. Please charge my Deposit Account No. 12-0555 in the amount of \$ to cover the above fees.					
X c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit overpayment to Deposit Account No. 12-0555.					
Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
William E. Jackson					
At the address (below) of CUSTOMER NO. 00881:					
LARSON & TAYLOR, PLC					
1199 NORTH FAIRFAX ST.					
SUITE 900					
ALEXANDRIA, VA 22314					
Signature: <i>William E. Jackson</i>					
Name: William E. Jackson					
Reg. No.: 24,016					
Phone No.: 703-739-4900					
Date: 10 April 2002					